## Application No.

Notice of Allowability

08/698,091

Applicant(s)

Lawler et al.

Examiner

· Hosain T. Alam

Group Art Unit 2771



All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance and Issue Fee Due or other appropriate communication will be mailed in due course.
∑ This communication is responsive to the Amendement dated May 4, 1998     .
∑ The allowed claim(s) is/are 1-20
The drawings filed on are acceptable.
☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
□ All □ Some* □ None of the CERTIFIED copies of the priority documents have been
received.
received in Application No. (Series Code/Serial Number)
received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
*Certified copies not received:
Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).
A SHORTENED STATUTORY PERIOD FOR RESPONSE to comply with the requirements noted below is set to EXPIRE THREE MONTHS FROM THE "DATE MAILED" of this Office action. Failure to timely comply will result in ABANDONMENT of this application. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).
□ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION, PTO-152, which discloses that the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED.
X Applicant MUST submit NEW FORMAL DRAWINGS
because the originally filed drawings were declared by applicant to be informal.
including changes required by the Notice of Draftsperson's Patent Drawing Review, PTO-948, attached hereto or to Paper No. 3 .
including changes required by the proposed drawing correction filed on, which has been approved by the examiner.
including changes required by the attached Examiner's Amendment/Comment.
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the reverse side of the drawings. The drawings should be filed as a separate paper with a transmittal lettter addressed to the Official Draftsperson.
☐ Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.
Any response to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE/SERIAL NUMBER). If applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF ALLOWANCE should also be included.
Attachment(s)
☐ Notice of References Cited, PTO-892
☐ Information Disclosure Statement(s), PTO-1449, Paper No(s).
☐ Notice of Draftsperson's Patent Drawing Review, PTO-948
☐ Notice of References Cited, PTO-892 ☐ Information Disclosure Statement(s), PTO-1449, Paper No(s). ☐ Notice of Draftsperson's Patent Drawing Review, PTO-948 ☐ Notice of Informal Patent Application, PTO-152 ☐ Interview Summary, PTO-413 ☐ Examiner's Amendment/Comment  SUPERVISORY PATENT EXAMINER GROUP 2700
☐ Interview Summary, PTO-413
☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
XI Examiner's Statement of Reasons for Allowance

Serial Number: 08/698,091

Art Unit: 2771

1. The following is an Examiner's Statement of Reasons for Allowance:

The prior art of record does not teach or fairly suggest a method of providing universal data analysis, measurement and control system (essentially directed to a software metric tool) as recited in the amended independent claims 1 and 15. The invention provides an improved software metric/measurement tool that provides indexing for disparate input data components originated form various sources (Applicants' Disclosure, Page 7; Amendment, Paper No. 4, Page 10, the last paragraph). In other words, the prior art of record does not teach or fairly suggest the combination of features such as "one hierarchical index ... generated under predefined formatting rules ... a description of each data set .... each data set including ... quantified description of each data set...." (claim 1, lines 6-10). The arguments by the Applicant with reference to the 35 U.S.C. 112 issue has also be considered. See

Any comments considered necessary by applicant must be submitted no later than the payment of the Issue Fee and, to avoid processing delays, should preferably **accompany** the Issue Fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hosain Alam whose telephone number is (703) 308-6662.

mo

H.A.

June 15, 1998

## UNITED STATES PARTMENT OF COMMERCE Patent and Trademark Office

## NOTICE OF ALLOWANCE AND ISSUE FEE DUE

LM41/0618

KARL M STEINS STEINS & ASSOCIATES 7770 REGENTS ROAD APT 258 SAN DIEGO CA 92122

APPLICATION NO.	FILING DATE	TOTAL CLAIMS		EXAMINER AND GROUP ART UNIT DATE MAILED		
<b>0</b> 8/698, <b>0</b> 91	08/15/96	020	ALAM,	н	2771	06/18/98
First Named Applicant LAWLER,	· · · · · · · · · · · · · · · · · · ·	JAMES	6 M.			-

INVENTION NIVERSAL DATA MEASUREMENT, ANALYSIS AND CONTROL SYSTEM

ATTY'S DOCKET NO.	CLASS-SUBCLASS BATCH NO.		APPLN.	TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2 PRE1-A21	707-10	2. <b>00</b> 0 F	-48 U1	FILITY	YES	\$660.00	09/18/98

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.

THE ISSUE FEE MUST BE PAID WITHIN <u>THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED.</u>

## **HOW TO RESPOND TO THIS NOTICE:**

- I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:
  - A. If the status is changed, pay twice the amount of the FEE DUE shown above and notify the Patent and Trademark Office of the change in status, or
  - B. If the status is the same, pay the FEE DUE shown above.

If the SMALL ENTITY is shown as NO:

- A. Pay FEE DUE shown above, or
- B. File verified statement of Small Entity Status before, or with, payment of 1/2 the FEE DUE shown above.
- II. Part B-Issue Fee Transmittal should be completed and returned to the Patent and Trademark Office (PTO) with your ISSUE FEE. Even if the ISSUE FEE has already been paid by charge to deposit account, Part B Issue Fee Transmittal should be completed and returned. If you are charging the ISSUE FEE to your deposit account, section "4b" of Part B-Issue Fee Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give application number and batch number.

  Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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